



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/920,426	07/31/2001	James Clarke	3399P051	5911

26529 7590 06/08/2005

BLAKELY SOKOLOFF TAYLOR & ZAFMAN/PDC  
12400 WILSHIRE BOULEVARD  
SEVENTH FLOOR  
LOS ANGELES, CA 90025

EXAMINER

LEZAK, ARRIENNE M

ART UNIT	PAPER NUMBER
----------	--------------

2143

DATE MAILED: 06/08/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/920,426

Applicant(s)

CLARKE ET AL.

Examiner

Arrienne M. Lezak

Art Unit

2143

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,3-7,13-15,17,18 and 23-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3-7,13-15,17,18 and 23-31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_.

**DETAILED ACTION**

Examiner notes that Claims 27-31 have been added, Claims 1, 3-7, 14, 17, 18 & 24-26 have been amended and Claims 2, 8-12, 16 & 19-22 have been cancelled. Claims not explicitly addressed herein are found to be addressed within prior Office Action dated 28 December 2004 as reiterated herein below.

***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Newly Amended Claims 1, 3-7, 14, 17, 18 & 24-26, Newly Added Claims 27-31 and Original Claims 13, 15 & 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combined teachings of US Patent US 6,650,619 B1 to Schuster in view of US Patent US 6,446,108 B1 to Rosenberg.

3. Regarding Claims 1, 14, 15 & 24-26, Schuster discloses, a method, system and computer-readable medium for operating an intermediary network/Internet, (per pending Claim 15), node, the method comprising:

- a processor, (Col. 6, lines 25-39 & Col. 7, lines 37-62);
- a data communication device coupled to the processor to communicate data between the processing system and a

Art Unit: 2143

plurality of other nodes on the network, (Col. 5, lines 45-48; Col. 6, lines 25-39; & Col. 7, lines 37-62);

- a storage facility coupled to the processor and storing instructions, for execution by the processor, (Col. 6, lines 25-39 & Col. 7, lines 37-62), which configure the processing system to execute a process to proxy requests and responses on behalf of the plurality of other nodes on the network, (Col. 10, lines 54-67; Col. 11, lines 1-12; & Fig. 3), the process including;
- using the intermediary network node to proxy requests and responses to requests between a plurality of clients and a plurality of servers, (Col. 8, lines 23-51; Col. 10, lines 54-67; Col. 11, lines 1-12; & Fig. 3), wherein at least one of the clients is a mobile client operating on a wireless network, (Col. 5, lines 34-44), and at least one of the servers operates on a landline network, (Col. 6, lines 25-27), the intermediary network node coupled between the wireless network and the landline network, (Col. 6, lines 25-39);
- using the intermediary network node to convert messages between a wireless protocol used by the wireless network and a second protocol used by the landline network, (Col. 6, lines 25-39);

Art Unit: 2143

- using the intermediary network node to detect network congestion on at least the landline network, (Col. 4, lines 60-67; Col. 5, lines 1-4; Col. 13, lines 4-67; & CO. 14, lines 1-47); and
- determining, in the intermediary network node, when a request received by the intermediary network node from one of the clients destined for one of the servers should not be forwarded by the intermediary network node, based on network congestion detected by the intermediary network node, (Col. 14, lines 41-58).

4. Though Schuster discloses a signaling/message/flow control system, Schuster does not specifically teach the use of specific-type messages, namely a message flow control algorithm. Rosenberg discloses use of a message flow control algorithm, (Roesenberg - Col. 3, lines 34-67 & Col. 4, lines 1-45). It would have been obvious to one of ordinary skill in the art at the time of invention by Applicant to incorporate the Rosenberg flow control algorithm in to the Schuster system, as once the signaling server learns of the state of congestion, it may instruct the registered gateways to reduce the number of signaling messages that they send, (Schuster - Col. 4, lines 26-59), which instruction would obviously include some sort of the "back-off" algorithm, especially in light of the Schuster "congestion mode" or "emergency mode" wherein a gateway messaging is limited, or obviously eliminated in severe congestion situations.

5. Schuster further discloses using the intermediary node to provide a (back-off) message indicating congestion on the network to a downstream node (client)

Art Unit: 2143

on the network, when congestion on the network is detected, (Schuster - Col. 12, lines 51-67 & Col. 13, lines 1-37), wherein the message is obviously sent in response to the intermediary node receiving a request from the downstream device destined for an upstream node on the network, (Examiner notes that a state of congestion could obviously be caused by excessive bi-directional message signaling from any location on the network). Moreover, Rosenberg discloses wherein the message specifies a back-off criterion (algorithm) for use by any other node on the network, obviously including any downstream node, wherein the back-off criterion (time-period) is computed in the intermediary node, (Rosenberg – Col. 3, lines 34-67 & Col. 4, lines 1-45). Examiner notes that it would have been obvious to utilize the gatekeeper/gateway for purposes of algorithm application in light of its function as “brain” of the signaling system, (Schuster - Col. 6, lines 23-51). Thus, Claims 1, 14, 15 & 24-26 are found to be unpatentable in light of the combined teachings of Schuster and Rosenberg.

6. Regarding Claims 3-6, 17 & 27-30, Schuster alone and in view of Rosenberg is relied upon for those teachings disclosed herein. Schuster further discloses using the intermediary node to detect congestion on the network, (per pending Claim 3), (Schuster - Col.13, lines 10-37), wherein detecting congestion on the network would obviously be based on a back-off message, (control signal), received by the intermediary node from an upstream node on the network, (per pending Claims 4 & 17), (Schuster - Col. 13, lines 31-37). Moreover, Rosenberg discloses wherein the back-off message obviously specifies one or more back-off criteria, (per pending Claim 5), and the necessary

Art Unit: 2143

metadata indicating applicability of the back-off message, (per pending Claim 6), (Rosenberg – Col. 3, lines 34-67 & Col. 4, lines 1-45). Thus, Claims 3-6, 17 & 27-30 are found to be unpatentable in light of the combined teachings of Schuster and Rosenberg.

7. Regarding Claims 7, 18 & 31, Schuster alone and in view of Rosenberg is relied upon for those teachings disclosed herein. Schuster further discloses detecting congestion on the network, wherein said detection could obviously be based on a failure by an upstream node on the network to respond to a request forwarded by the intermediary node, (Schuster - Col. 12, lines 51-67 & Col. 13, lines 1-37). Thus, Claims 7, 18 & 31 are found to be unpatentable in light of the combined teachings of Schuster and Rosenberg.

8. Regarding Claims 13 & 23, Schuster alone and in view of Rosenberg is relied upon for those teachings disclosed herein. Rosenberg further discloses using a back-off strategy to determine when a request received by the intermediary node should not be forwarded, and wherein the method further comprises adapting the back-off strategy dynamically based on operation of the network, (Rosenberg – Col. 3, lines 34-67 & Col. 4, lines 1-45). Thus, Claims 13 & 23 are found to be unpatentable in light of the combined teachings of Schuster and Rosenberg.

### ***Response to Arguments***

9. Applicant's arguments filed 24 March 2005, have been fully considered but they are not persuasive. Applicant's arguments do not comply with 37

Art Unit: 2143

CFR 1.111(c) because they do not clearly point out the patentable novelty which he or she thinks the claims present in view of the state of the art disclosed by the references cited or the objections made.

10. In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). Examiner notes that Rosenberg clearly teaches the limitation of "using a flow control algorithm" and Schuster clearly teaches the limitation of "an intermediary node", as noted herein above.

11. In response to applicant's argument that Schuster and Rosenberg in combination would not have been obvious, the test for obviousness is not whether the features of a secondary reference may be bodily incorporated into the structure of the primary reference; nor is it that the claimed invention must be expressly suggested in any one or all of the references. Rather, the test is what the combined teachings of the references would have suggested to those of ordinary skill in the art. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981). Applicant Argues that Schuster and Rosenberg in combination would not have been obvious because Schuster "teaches away" from such combination. Applicant relies on portions of Schuster, which teach alternate routing means in very specific situations; however, Examiner notes that such alternatives are optional, (as noted by the wording "may be programmed" and "may instruct"). Regardless of what may happen in certain situation, Schuster clearly teaches the



Art Unit: 2143

H.323 protocol, which protocol clearly includes a "gatekeeper-routed model", (Col. 10, lines 54-67 & Col. 11, lines 1-12). Moreover, Schuster clearly teaches a preferred embodiment wherein the signaling subsystem (gatekeeper) is modified, (i.e.: per a flow control algorithm like that taught by Rosenberg), to respond to a state of congestion by switching mode in which the gateway at least temporarily, (i.e.: for some time period), does not transmit, (i.e.: backs-off), (or transmits less often) a particular type or types of signaling request message, (Col. 14, lines 18-47). Examiner additionally notes that the switching to "direct-routing" is optional as taught by Schuster and does not eradicate the gatekeeper option.

12. Examiner has addressed Applicant's Amendment, and has further rejected all Amended, Original and Newly Added Claims, as noted herein above.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

13. A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will

Art Unit: 2143

the statutory period for reply expire later than SIX MONTHS from the date of this final action.

### ***Conclusion***

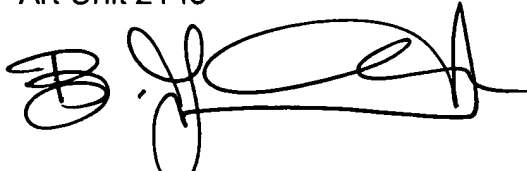
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Arrienne M. Lezak whose telephone number is (571)-272-3916. The examiner can normally be reached on M-F 8:30-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David A. Wiley can be reached on (571)-272-3923. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

AML

Arrienne M. Lezak  
Examiner  
Art Unit 2143



**BUNJOB JAROENCHONWANIT  
PRIMARY EXAMINER**